

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF NORTH CAROLINA
WESTERN DIVISION
No. 5:23-cv-00095-BO

SVB FINANCIAL GROUP and SILICON)
VALLEY BANK)
)
Plaintiffs,)
)
v.)
)
FEDERAL INSURANCE COMPANY and)
BERKLEY REGIONAL INSURANCE)
COMPANY,)
)
Defendants.)

**DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A
DIRECT FINANCIAL INTEREST IN LITIGATION AND ATTRIBUTED CITIZENSHIP**

Pursuant to Fed. R. Civ. P. 7.1 and Local Civil Rule 7.3, or Fed. R. Crim. P. 12.4 and Local Criminal Rule 12.3,

Silicon Valley Bank who is Plaintiff,
(name of party/intervenor) (plaintiff/defendant/other)

makes the following disclosure:

1. Is the party/intervenor a publicly held corporation or other publicly held entity?

YES ☐

NO ☒

2. Does the party/intervenor have any parent corporations?

YES ☒

NO ☐

If yes, identify all parent corporations, including grandparent and great-grandparent corporations:

- SVB Financial Group

3. Is 10% or more of the stock of the party/intervenor owned by a publicly held corporation or other publicly held entity?

YES ☒

NO ☐

If yes, identify all such owners:

- SVB Financial Group

4. Is there any other publicly held corporation or other publicly held entity that has a direct financial interest in the outcome of the litigation (Local Civil Rule 7.3 or Local Criminal 12.3)?

YES ☐

NO ☒

5. Is the party/intervenor a trade association?

YES ☐

NO ☒

If yes, identify all members of the association, their parent corporations, and any publicly held companies that own 10% or more of a member's stock:

6. If the case arises out of a bankruptcy proceeding, identify any trustee and the members of any creditor's committee: Not applicable.

7. In a case based on diversity jurisdiction, the following is a list of every individual or entity whose citizenship is attributed to the party/intervenor:

Silicon Valley Bank
(Name of individual/entity)

California
(State of citizenship)

(Name of individual/entity)

(State of citizenship)

(Name of individual/entity)

(State of citizenship)

(Name of individual/entity)

(State of citizenship)

(Name of individual/entity)

(State of citizenship)

(Name of individual/entity)

(State of citizenship)

If there are additional individuals or entities who citizenship is attributed to the party/intervenor, please provide their names and states of citizenship on a separate piece of paper.

Signature: /s/ Raymond Sheen

Date: March 9, 2023

CERTIFICATE OF SERVICE

I hereby certify that the foregoing **DISCLOSURE OF CORPORATE AFFILIATIONS AND OTHER ENTITIES WITH A DIRECT FINANCIAL INTEREST IN LITIGATION AND ATTRIBUTED CITIZENSHIP** has been filed electronically in CM/ECF as prescribed by the Court's electronic case filing procedure and is thereby being served electronically under Fed. R. Civ. P. 5 on all counsel of record, as listed below, on March 9, 2023:

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